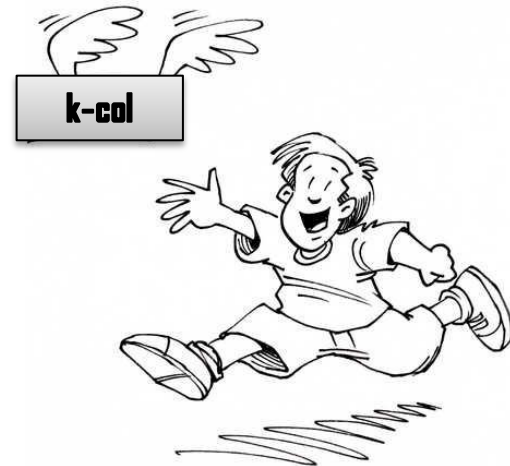


# Chasing the $k$ -colorability threshold

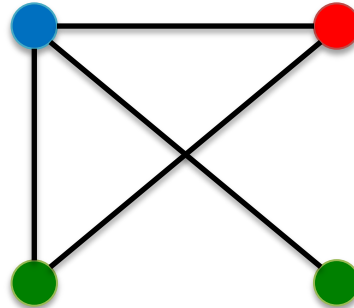
Danny Vilenchik  
The Weizmann Institute

Join work with Amin Coja-Oghlan

Appeared in FOCS 2013



# Graph colorability problem



$$\chi(G) = 3$$

- **Worse case:** NP-hard and no approximation

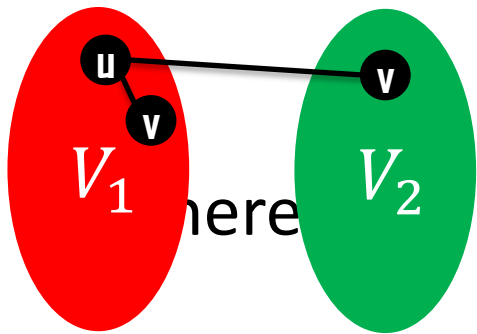
What will typically be the chromatic number of the random graph  $G(n, m)$ ?

# What should expect?

$Z_k$  counting proper  $k$ -colorings of  $G(n, m = dn/2)$

$$E[Z_k] = \sum_{\sigma} \Pr[\sigma \text{ is } k\text{-coloring}]$$

$$\Pr[\sigma \text{ is a } k\text{-coloring}] \approx \left(1 - \frac{1}{k}\right)^m$$



Today: Assume  $\sigma$  is balanced

$$E[Z_k] \approx \binom{k}{2} \left(1 - \frac{1}{k}\right)^m$$

$V_3 \rightarrow 0$  at  $d_{first} \approx 2k \ln k \Rightarrow \chi(G) \approx d/(2 \ln d)$

# Pre-History

- Bollobas (88) Luczak (91) - right asymptotic for  $m \gg n$
- Shamir & Spencer proved  $O(1)$  –concentration  
 $m \ll n^{3/2}$
- Two-point concentration by Alon and Krivelevich in 1997



One point concentration?



# Achlioptas & Naor

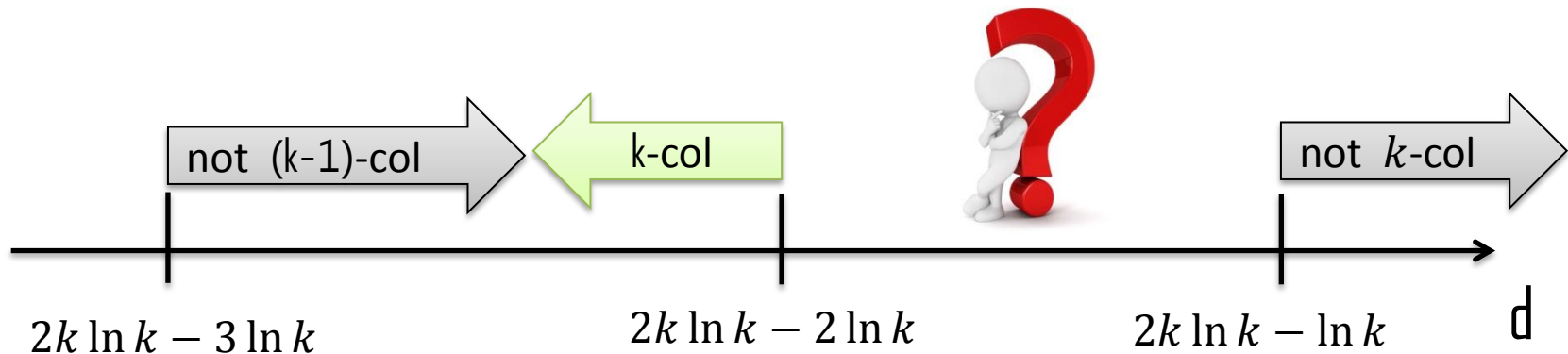
[Annals of Mathematics 2005]

For fixed large  $k$ , the  $k$ -colorability threshold satisfies

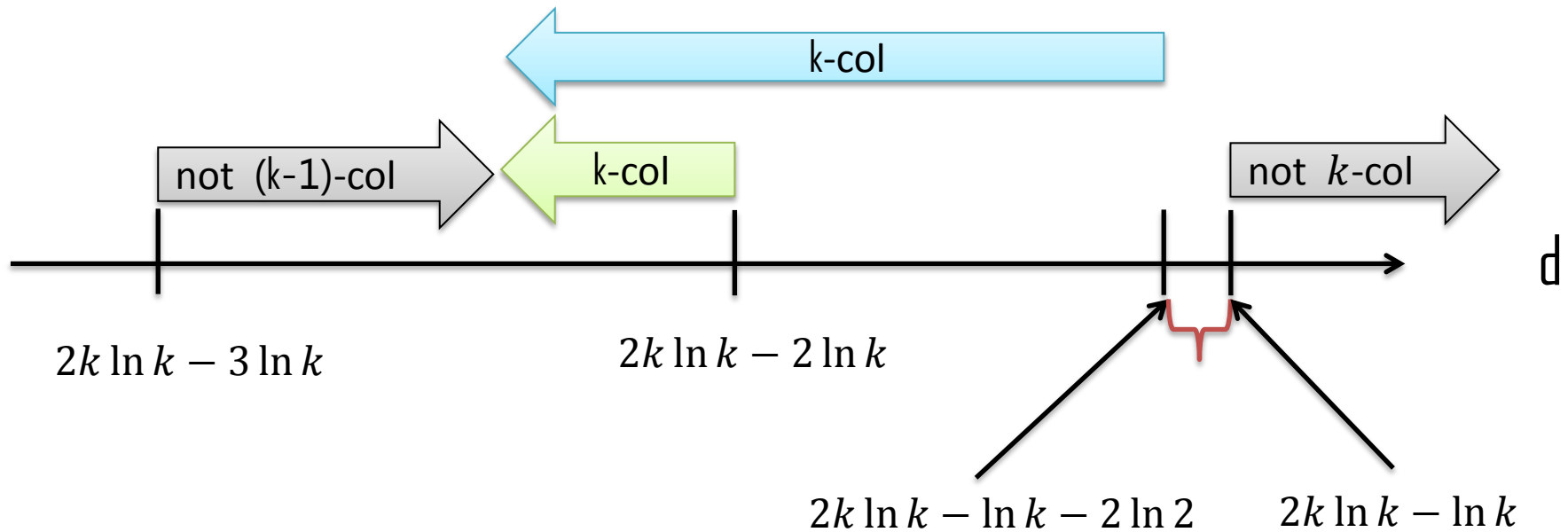
$$d_{k-col} \geq 2k \ln k - 2 \ln k - 2$$



$$d_{k-col} \leq d_{first} = 2k \ln k - \ln k$$



# Our Result



We determine the **exact** chromatic number for all but a vanishing (with  $k$ ) fraction of densities  $d$

Physicist predict  $2k \ln k - \ln k - 1$  (Cavity Method)

# The Second Moment Method

- Achlioptas & Peres 2003 ( $k$ -SAT)
- Coja-Oghlan & Panagiotou 2012-13 ( $k$ -SAT, NAE  $k$ -SAT)
- Coja-Oghlan & Zdeborova 2012: Hypergraph 2-col.
- Dyer, Frieze and Greenhill 2014: Chromatic number of random hypergraphs
- Coja-Oghlan, Efthymiou, Hetterich 2014: Chromatic number of  $d$ -regular graphs

# The Second Moment Method

- $E[Z_k] > 0$  doesn't imply  $Z_k > 0$  whp
- Need to control the variance (second moment)
- Suppose that  $Z(G)$  is such that

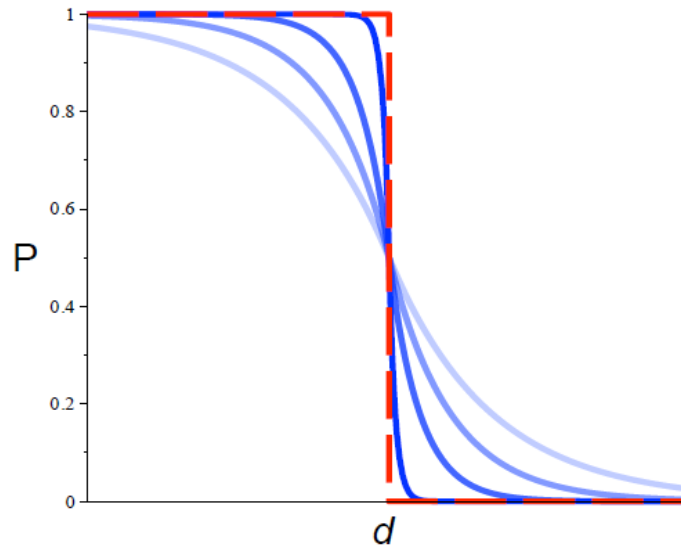
$$Z > 0 \rightarrow G \text{ is } k\text{-colorable}$$

- Further suppose that  $0 < E[Z^2] \leq C \cdot E[Z]^2$
- The **Paley-Zygmund** inequality says that

$$\Pr[Z > 0] > \frac{E[Z]^2}{E[Z^2]} \geq \frac{1}{C}$$



Achlioptas and Friedgut 99: For any fixed  $k \geq 3$  there is a sharp threshold sequence  $d_{k-col}(n)$



Use **Sharp Threshold + PZ** to get a lower bound on  $d_{k-col}$

We “just” need to find a random variable  $Z$  so that

- $Z > 0 \rightarrow G$  is  $k$ -colorable
- $0 < E[Z^2] \leq C \cdot E[Z]^2$

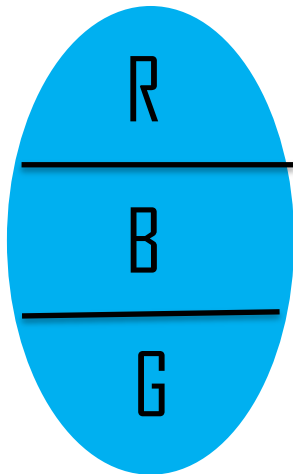
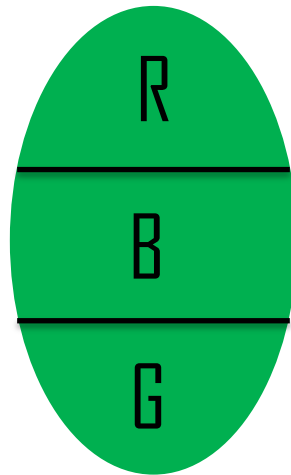
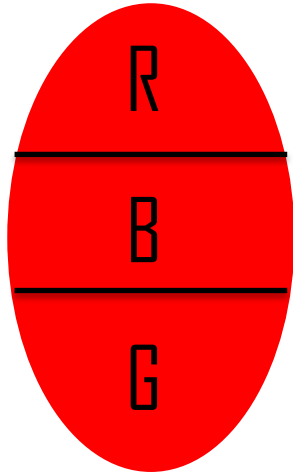
# Counting Pairs of Colorings

- **Goal:** Compute  $E[Z^2]$  - the expected number of **pairs** of colorings

$\Pr[\sigma \text{ and } \tau \text{ are both proper } k\text{-col of } G]$

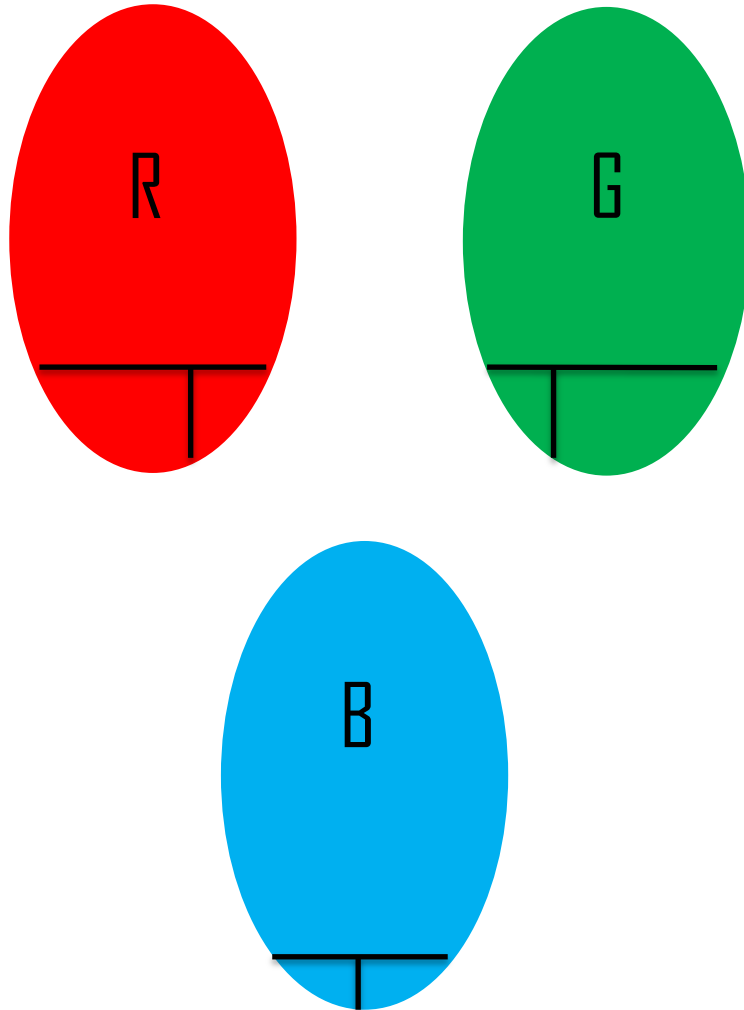
- This quantity depends on the “distance” between  $\sigma$  and  $\tau$ 
  - **Overlap** matrix  $\rho$  is a  $k \times k$  matrix where

# Overlap Matrix Example



$$\rho^* = \begin{pmatrix} 1/3 & 1/3 & 1/3 \\ 1/3 & 1/3 & 1/3 \\ 1/3 & 1/3 & 1/3 \end{pmatrix}$$

# Overlap Matrix Example



$$\rho = \begin{pmatrix} & R & G & B \\ 0.8 & 0.15 & 0.05 \\ 0.15 & 0.8 & 0.05 \\ 0.05 & 0.05 & 0.9 \end{pmatrix}$$

# First try – Balanced Colorings

- $Z_{k,bal}$  - the number of **balanced**  $k$ -colorings
- $Z_{\rho,bal}$  - number of coloring pairs  $(\sigma, \tau)$  that obey  $\rho$

$$E[Z_{k,bal}^2] = \sum_{\text{balanced } \rho} E[Z_{\rho,bal}]$$

# Probability of Pairs

$A_\sigma$  = a random edge is **monochromatic** under  $\sigma$

$$\begin{aligned}\Pr[\sigma \text{ and } \tau \text{ are proper } k\text{-col}] &= (1 - \Pr[A_\sigma \vee A_\tau])^m = \\ &= (1 - \Pr[A_\sigma] - \Pr[A_\tau] + \Pr[A_\sigma \wedge A_\tau])^m = \\ &= \left(1 - \frac{2}{k} + \frac{\|\rho\|^2}{k^2}\right)^m\end{aligned}$$

$$\|\rho\|^2 = \sum_{1 \leq i, j \leq k} (\rho_{i,j})^2 \quad (\text{Frobenius norm})$$

# Balanced k-colorings contd.

$$E[Z_{k,bal}^2] = \sum_{\rho} k^n \underbrace{\left( \rho_{11} \frac{n}{k}, \rho_{12} \frac{n}{k}, \dots, \rho_{kk} \frac{n}{k} \right)}_{f(\rho)} \left( 1 - \frac{2}{k} + \frac{\|\rho\|^2}{k^2} \right)^m$$

Our goal is to prove that this is  $\leq C(k)(E[Z_{k,bal}])^2$

Let  $\rho^*$  be the matrix with all entries equal  $1/k$

$$f(\rho^*) \approx E[Z_{k,bal}]^2$$

Suffices to show that  $\rho^*$  is the maximizer

# Back to Achlioptas and Naor

- AN relax by maximizing over **singly**-stochastic matrices
  - This reduces the dimension from  $k^2$  to  $k$
- Their analysis is **complicated and non-combinatorial**
- They manage to prove that  $f(\rho^*)$  is the max up to

$$d_{AN} = 2k \ln k - 2 \ln k - 2$$



# What goes wrong beyond $d_{AN}$ ?

- Max is at a matrix which is **not** doubly-stochastic
- So is it just the relaxation?

No ...

$$\rho' = \left(1 - \frac{1}{k}\right) I_k + \frac{1}{k^2} J_k$$

For some  $d_{AN} < d < d_{first}$

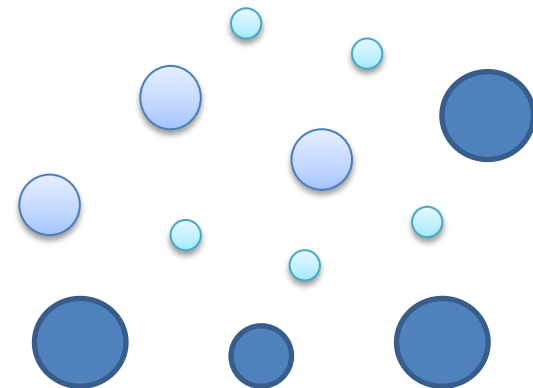
$$f(\rho') > f(\rho^*)$$

# Our approach: a new R.V.

- **Cluster** of  $\sigma$

$$C(\sigma) = \{\tau: \forall i \rho_{ii}(\tau, \sigma) \geq 0.99\}$$

- We say that  $\sigma$  is **good** if
  - There is no  $\tau$  s.t.  $\rho_{ii}(\tau, \sigma) \in [0.51, 0.99]$
  - $|C(\sigma)| \leq E[Z_k]$
- We then consider the r.v.  $Z_{k,good}$



# The New 2<sup>nd</sup> Moment

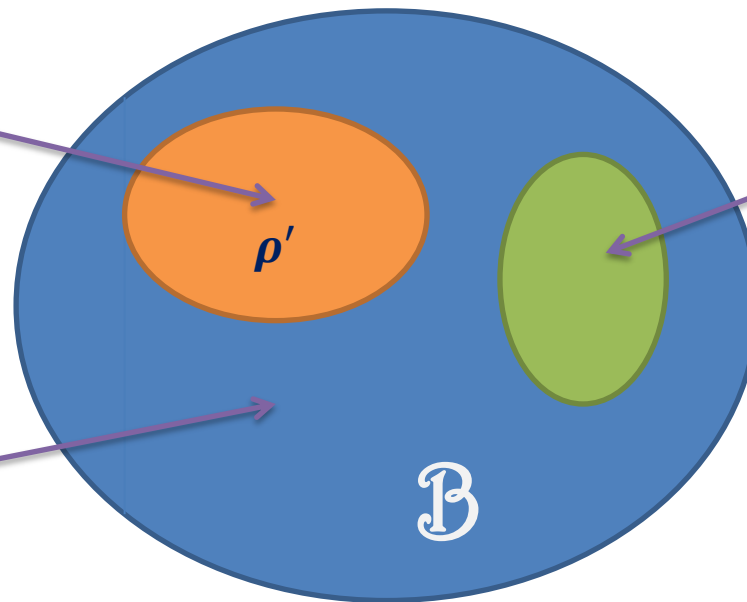
$$\text{Goal: } E[Z_{k,\text{good}}^2] \leq C(k)(E[Z_{k,\text{good}}])^2$$

$$E[Z_{k,\text{good}}^2] = \sum_{\rho} E[Z_{\rho,\text{good}}]$$

Some color has  
overlap  $\leq 0.51$

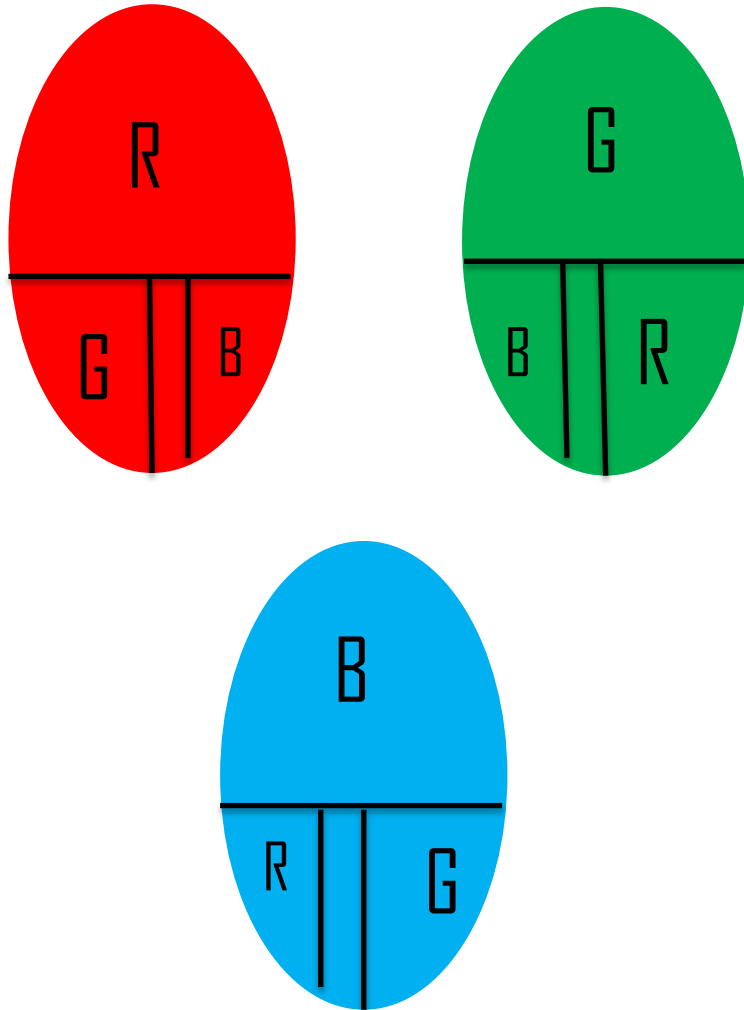
All color classes are  
have large ( $>0.999$ )  
overlap

Bad overlap  
matrices - Ignored



$$\rho' = \left(1 - \frac{1}{k}\right) I_k + \frac{1}{k^2} J_k$$

# Pairs From Different Clusters



$$\rho = \begin{pmatrix} & R & G & B \\ 0.4 & 0.35 & 0.25 \\ 0.35 & 0.4 & 0.25 \\ 0.25 & 0.35 & 0.4 \end{pmatrix}$$

$$\rho' = \begin{pmatrix} 0.4 & 0.3 & 0.3 \\ 0.3 & 0.4 & 0.3 \\ 0.3 & 0.3 & 0.4 \end{pmatrix}$$

$$f(\rho') > f(\rho)$$

## 2<sup>nd</sup> moment contd.

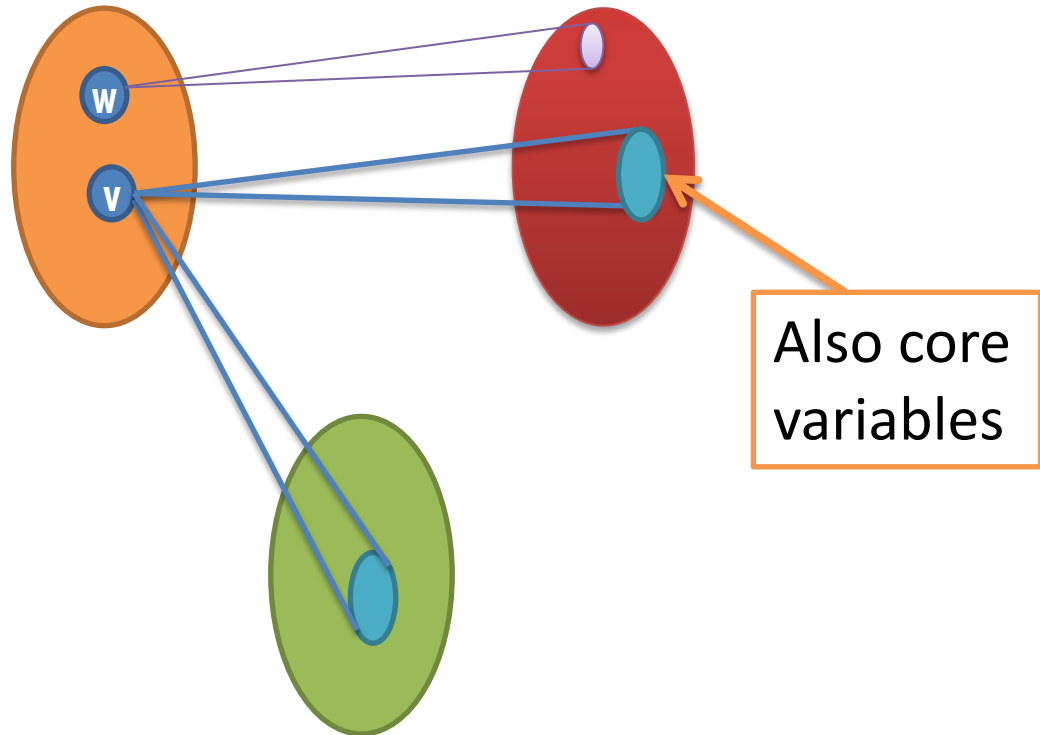
$$E[Z_{k,good}^2] = \sum_{\rho} E[Z_{\rho,good}]$$

**Key Observation:** If two **good** colorings obey  $\rho$  then they have the same clusters

$$\begin{aligned} \sum_{\rho} E[Z_{\rho,good}] &= k! \sum_{\sigma} E[C(\sigma) | \sigma \text{ is good}] \Pr[\sigma \text{ is good}] = \\ &k! \max_{\sigma} E[C(\sigma) | \sigma \text{ is good}] \sum_{\sigma} \Pr[\sigma \text{ is good}] \leq k! (E[Z_k])^2 \end{aligned}$$

# Are there any good colorings?

- We show that w.h.p. a random  $k$ -coloring  $\sigma$  is **good**
  - We define the notion of **core** and **free** variables



$v$  belongs to the core

$w$  is 1-free

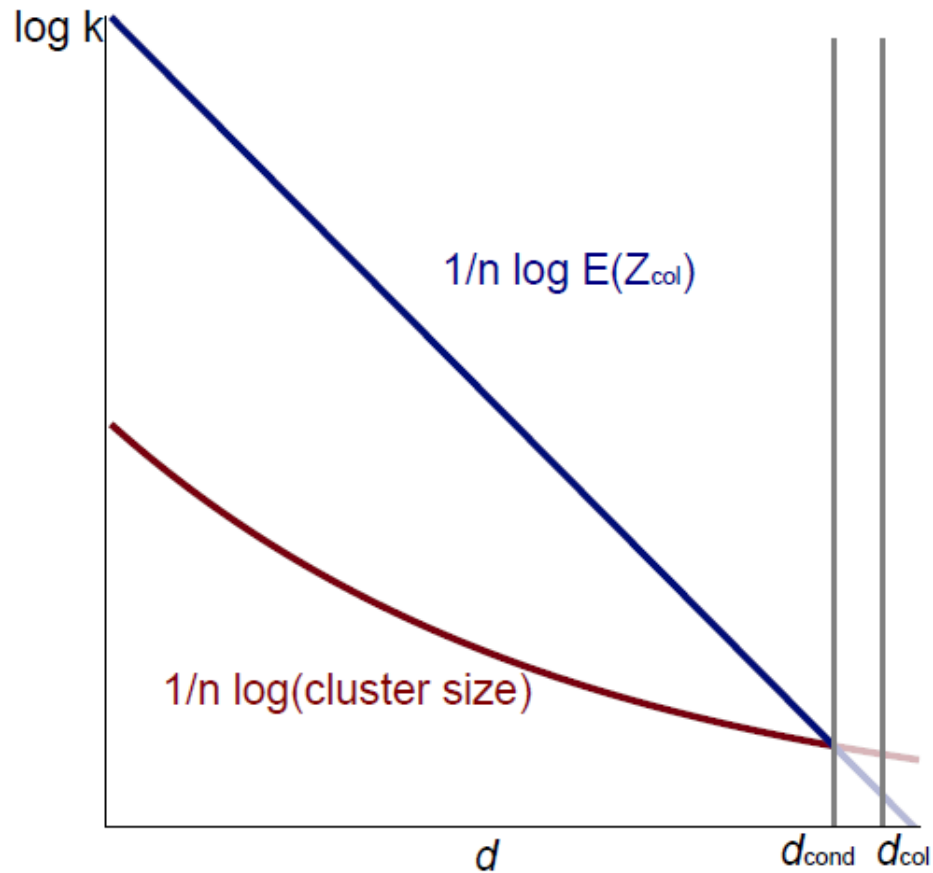
# Are there good colorings?

- Use expansion to show that every  $\tau$  either
  - Agrees with  $\sigma$  on the core
  - Or, is far away from  $\sigma$
- We show that  $|C(\sigma)|$  satisfies

$$|C(\sigma)| \leq 2^{\#1\text{-free variables}} \leq E[Z_k]$$

This property is violated above the condensation threshold

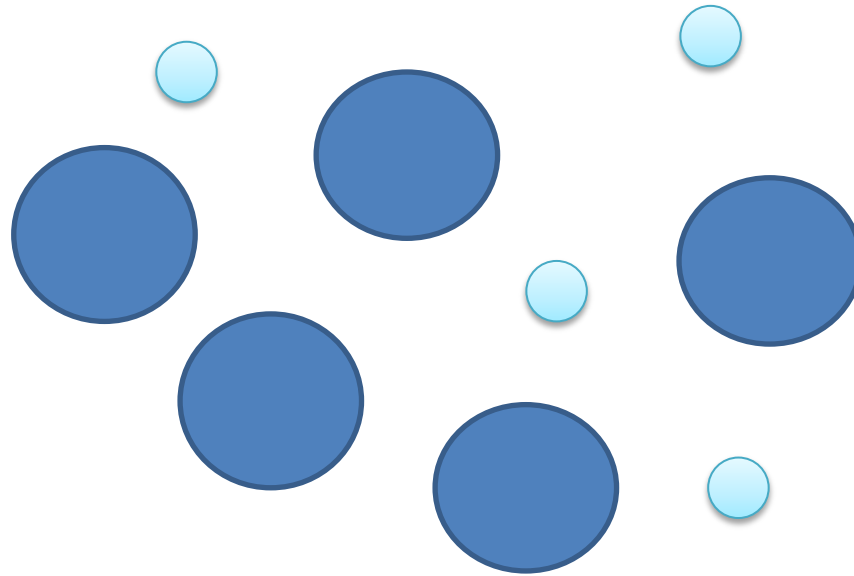
# Condensation



The functions cannot extend analytically beyond  $d_{\text{cond}}$



# Condensation Cont.



- Few **large** clusters dominate the topology
- A typical pair of colorings will NOT be uncorrelated
- $\rho^*$  (matrix with all entries equal  $1/k$ ) will **not** be the max!