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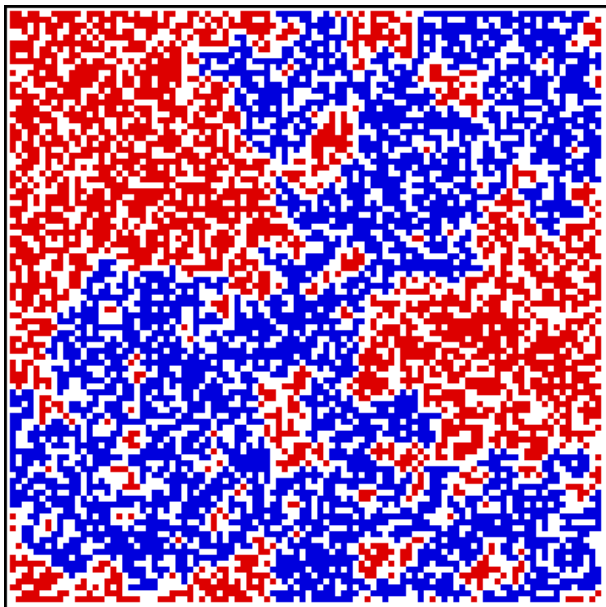
Cluster regularity

Arm Exponents for high- d percolation

Gady Kozma (speaker) and Asaf Nachmias

Dynamic Random Environments, Eindhoven, 2009

Critical $2d$ percolation



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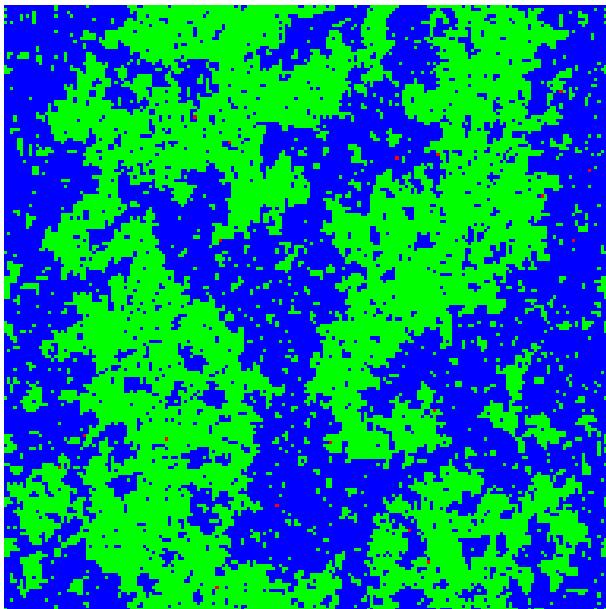
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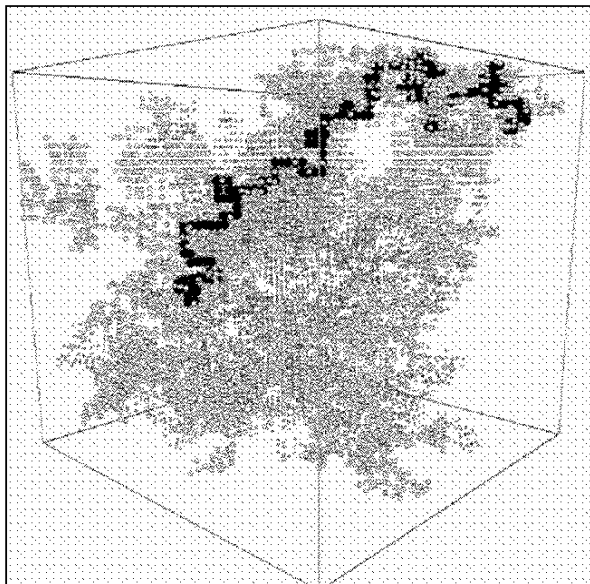
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- ▶ Random fractal structures

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- ▶ Polynomial decay of correlations

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High dimensional criticality is about

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- ▶ Random fractal structures
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High dimensional criticality is about

- ▶ Tree-like fractals

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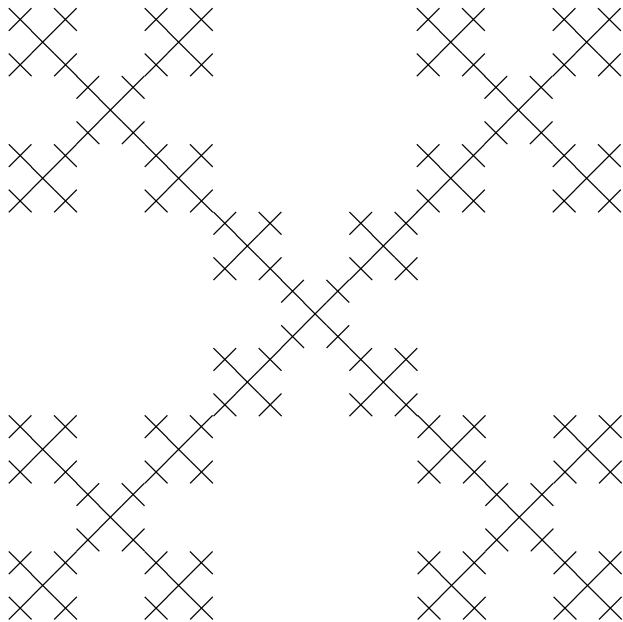
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Criticality is about

- ▶ Random fractal structures
- ▶ Polynomial decay of correlations

High dimensional criticality is about

- ▶ Tree-like fractals
- ▶ Decay of correlations is as in the tree case (“mean-field behavior”).

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Onset of high-dimensionality is usually related to the finiteness of some diagram.

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Onset of high-dimensionality is usually related to the finiteness of some diagram. For percolation this is the triangle diagram.

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Definition

Let G be an transitive infinite graph and let $0 < p < 1$. Define

$$\nabla = \sum_{x,y} \mathbb{P}(0 \leftrightarrow x) \mathbb{P}(x \leftrightarrow y) \mathbb{P}(0 \leftrightarrow y)$$

where the sum runs over all vertices of the graph x, y and where all probabilities are with respect to p -percolation.

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We say that the triangle condition holds if $\nabla < \infty$.

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Example. if G is an infinite k -regular tree then

$$\mathbb{P}(0 \leftrightarrow x) = p^{-d(0,x)}$$

and a simple calculation shows that the triangle condition holds whenever $p < \frac{1}{\sqrt{k-1}}$.

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Example. if G is an infinite k -regular tree then

$$\mathbb{P}(0 \leftrightarrow x) = p^{-d(0,x)}$$

and a simple calculation shows that the triangle condition holds whenever $p < \frac{1}{\sqrt{k-1}}$. In particular it holds for $p_c = \frac{1}{k-1}$.

(the triangle condition cannot hold for $p > p_c$ for \mathbb{Z}^d , or in general for any amenable graph)

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Corollaries

Assume our graph satisfies the triangle condition at p_c

- ▶ $\mathbb{E}_p(|\mathcal{C}(0)|) \approx (p_c - p)^{-1}$. “ $\gamma = 1$ ”. Aizenman & Newman (1984).

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- ▶ $\mathbb{P}_p(|\mathcal{C}(0)| = \infty) \approx p - p_c$. “ $\beta = 1$ ”. Barsky & Aizenman (1991).

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- ▶ $\mathbb{P}_p(|\mathcal{C}(0)| = \infty) \approx p - p_c$. “ $\beta = 1$ ”. Barsky & Aizenman (1991).
- ▶ $\mathbb{P}_{p_c}(|\mathcal{C}(0)| > n) \approx n^{-1/2}$. “ $\delta = 2$ ”. Barsky & Aizenman (1991).

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- ▶ $\mathbb{P}_{p_c}(|\mathcal{C}(0)| > n) \approx n^{-1/2}$. “ $\delta = 2$ ”. Barsky & Aizenman (1991).
- ▶ $\mathbb{E}(|x : d_{\text{gr}}(0, x) < r|) \approx r$ where d_{gr} is the graph (a.k.a. intrinsic or “chemical”) distance. K & N (2009).

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- ▶ $\mathbb{E}(|x : d_{\text{gr}}(0, x) < r|) \approx r$ where d_{gr} is the graph (a.k.a. intrinsic or “chemical”) distance. K & N (2009).
- ▶ $\mathbb{P}(\exists x \text{ such that } d_{\text{gr}}(0, x) > r) \approx r^{-1}$. “ $\rho_{\text{gr}} = 1$ ”. K & N (2009).

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Mean-field vs. $2d$

	$d = 2$	$3 \leq d \leq 6$	$d > 6$
γ	$43/18$?	1
β	$5/36$?	1
δ	$91/5$?	2
ρ	$48/5$?	$1/2$
ρ_{gr}	?	?	1

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- ▶ The $2d$ case was proved for the triangular lattice. Smirnov (2001); Lawler, Schramm & Werner (2001); Kesten (1987).

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- ▶ The $2d$ case was proved for the triangular lattice. Smirnov (2001); Lawler, Schramm & Werner (2001); Kesten (1987).
- ▶ The case $d > 6$ was proved when the lattice is sufficiently spread out or when d is sufficiently large. Hara & Slade (1990), Hara, van der Hofstad & Slade (2003). Most results go via $\nabla < \infty$.

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- ▶ The case $d = 6$ is expected to have the same exponents as the case $d > 6$, even though $\nabla = \infty$.

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- ▶ The case $d = 6$ is expected to have the same exponents as the case $d > 6$, even though $\nabla = \infty$.
- ▶ When $3 \leq d \leq 5$ there is no good conjecture for the values of these exponentials.

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- ▶ The case $d = 6$ is expected to have the same exponents as the case $d > 6$, even though $\nabla = \infty$.
- ▶ When $3 \leq d \leq 5$ there is no good conjecture for the values of these exponentials.
- ▶ ρ_{gr} is not known in $d = 2$, probably because the graph distance is not a conformal invariance

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Advantage. Does not see the Euclidean structure.

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The triangle condition cntd.

Advantage. Does not see the Euclidean structure. Hence may be applied to general transitive graphs

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The triangle condition cntd.

Advantage. Does not see the Euclidean structure. Hence may be applied to general transitive graphs

- ▶ Regular trees.

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The triangle condition cntd.

Advantage. Does not see the Euclidean structure. Hence may be applied to general transitive graphs

- ▶ Regular trees.
- ▶ \mathbb{Z}^d for $d > 6$ and sufficiently spread out lattices; and for d sufficiently large and the usual lattice. Hara & Slade (1990).

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- ▶ $T \times \mathbb{Z}$ where T is a regular tree with sufficiently large degree. Wu (1993).

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- ▶ Highly non-amenable graphs. Schonmann (2001).
- ▶ Products of trees. K, in preparation.

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- ▶ η defined by $\mathbb{P}(0 \leftrightarrow x) \approx |x|^{2-d+\eta}$.

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Disadvantage. Does not see the Euclidean structure. Cannot be used to infer exponents that relate to the Euclidean structure

- ▶ η defined by $\mathbb{P}(0 \leftrightarrow x) \approx |x|^{2-d+\eta}$.
- ▶ ρ defined by $\mathbb{P}(0 \leftrightarrow \partial B(0, r)) \approx r^{-1/\rho}$.

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The two-point function

The proof that $\eta = 0$ in high dimensions again uses lace expansion. Hara, van der Hofstad & Slade (2003) for the spread-out case, Hara (2008) for the nearest-neighbor case.

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The proof that $\eta = 0$ in high dimensions again uses lace expansion. Hara, van der Hofstad & Slade (2003) for the spread-out case, Hara (2008) for the nearest-neighbor case. A straightforward calculation shows that $\eta = 0 \Rightarrow \nabla < \infty$ whenever $d > 6$.

Recall: $\eta = 0 \iff \mathbb{P}(0 \leftrightarrow x) \approx |x|^{2-d}$ and

$$\nabla = \sum_{x,y} \mathbb{P}(0 \leftrightarrow x) \mathbb{P}(x \leftrightarrow y) \mathbb{P}(0 \leftrightarrow y)$$

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$\eta = 0, d > 6$ was also used as a “marker” for high-dimensional behavior.

- ▶ Aizenman (1997): the number of crossing clusters of a box of side-length r is $\approx r^{d-6}$.

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$\eta = 0, d > 6$ was also used as a “marker” for high-dimensional behavior.

- ▶ Aizenman (1997): the number of crossing clusters of a box of side-length r is $\approx r^{d-6}$.
- ▶ Barsky & Aizenman may be simplified considerably by using $\eta = 0, d > 6$ instead of $\nabla < \infty$.

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Our result

Let G be a lattice in \mathbb{R}^d satisfying

1. $d > 6$,
2. $\mathbb{P}(0 \leftrightarrow x) \approx |x|^{2-d}$,
3. The lattice is invariant to coordinate permutations and reflections.

Then

$$\mathbb{P}(0 \leftrightarrow \partial B(0, r)) \approx r^{-2}$$

In short: $\eta = 0$ implies $\rho = 1/2$.

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About the proof

The proof does not use lace-expansion directly.

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About the proof

The proof does not use lace-expansion directly. Nevertheless, the proof is ≈ 40 pages and is somewhat involved.

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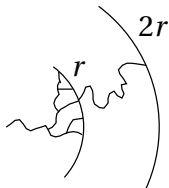
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About the proof

The proof does not use lace-expansion directly. Nevertheless, the proof is ≈ 40 pages and is somewhat involved.

Main themes

- ▶ An induction scheme that uses $\delta = 2$ as a starting point.



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About the proof

The proof does not use lace-expansion directly. Nevertheless, the proof is ≈ 40 pages and is somewhat involved.

Main themes

- ▶ An induction scheme that uses $\delta = 2$ as a starting point.
- ▶ Inverse BK-inequalities. For example,

$$\mathbb{P}(0 \leftrightarrow \partial B(0, r) \text{ by 2 disjoint paths}) \approx r^{-4}.$$

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$$\mathbb{P}(0 \leftrightarrow \partial B(0, r) \text{ by 2 disjoint paths}) \approx r^{-4}.$$

- ▶ A regularity analysis with claims of the sort

$$\mathbb{P}(|\mathcal{C}(0)| > M \text{ but most vertices of the cluster are "bad"}) < e^{-cM}.$$

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- ▶ A regularity analysis with claims of the sort

$$\mathbb{P}(|\mathcal{C}(0)| > M \text{ but most vertices of the cluster are "bad"}) < e^{-cM}.$$

- ▶ A careful choice of what is a “bad vertex”.

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A lemma

Lemma 1. Let G be a lattice in \mathbb{R}^d for *any* d , invariant to coordinate permutations and reflections. Let Q_r be a box of size-length r centered at 0. Let $z \in \partial Q_r$. Then

$$\mathbb{P}(0 \overset{Q_r}{\longleftrightarrow} z) \geq ce^{-C \log^2 r}$$

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$$\mathbb{P}(0 \overset{Q_r}{\longleftrightarrow} z) \geq ce^{-C \log^2 r}$$

- ▶ We expect that the probability is in fact polynomial.

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Lemma 1. Let G be a lattice in \mathbb{R}^d for *any* d , invariant to coordinate permutations and reflections. Let Q_r be a box of size-length r centered at 0. Let $z \in \partial Q_r$. Then

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- ▶ We expect that the probability is in fact polynomial. In $d = 2$, this probability is $\approx r^{-7/16}$ when z is at the center of a face, and $\approx r^{-37/48}$ when z is at a corner.

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A lemma

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- ▶ Nevertheless, any subexponential estimate (and even an exponential estimate with sufficiently low constant) would have been enough for the application.
- ▶ This lemma is the only place in the proof the symmetries of the lattice are needed.

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Lemma 2.
$$\sum_{x \in Q_r} \mathbb{P}(0 \overset{Q_r}{\longleftrightarrow} x) \geq 1$$

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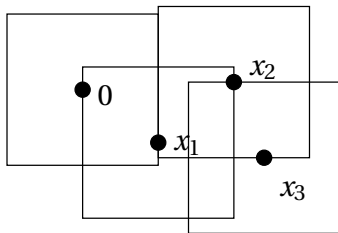
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Lemma 2. $\sum_{x \in Q_r} \mathbb{P}(0 \overset{Q_r}{\longleftrightarrow} x) \geq 1$

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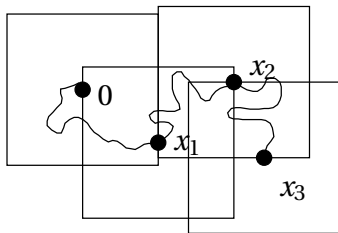
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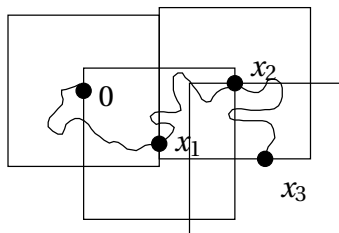
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$$\sum_{x_1, \dots, x_n} E(x_1, \dots, x_n) \leq (1 - \epsilon)^n.$$



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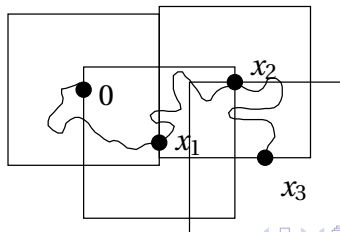
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However, If $0 \leftrightarrow x$ for some $x \notin Q_{nr}$ then it means that $E(x_1, \dots, x_n)$ happened for some x_1, \dots, x_n . We get that $\mathbb{E}(|\mathcal{C}(0)|) < \infty$, in contradiction to our assumption that we are at criticality. \square

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Proof of lemma 1

Lemma 1. Let Q_r be a box of size-length r centered at 0. Let $z \in \partial Q_r$. Then

$$\mathbb{P}(0 \overset{Q_r}{\longleftrightarrow} z) \geq ce^{-C \log^2 r}$$

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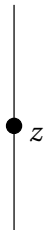
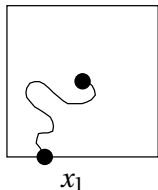
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Proof. By lemma 2, there is some $x \in \partial Q_{r/4}$ such that

$$\mathbb{P}(0 \overset{Q_{r/4}}{\longleftrightarrow} x) \geq cr^{1-d}.$$



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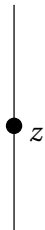
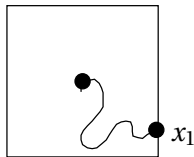
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$\mathbb{P}(0 \xleftrightarrow{Q_{r/4}} x) \geq cr^{1-d}$. By the symmetries of the lattice we can rotate x_1 to “point in the direction of z ”. Let our second cube Q_1 be centered around x_1 and have side-length $\frac{1}{4} \|x_1 - z\|$.

Find an $x_2 \in \partial Q_1$ with $\mathbb{P}(x_1 \xleftrightarrow{Q_1} x_2) \geq cr^{1-d}$, pointing in the direction of z . Repeat. The process stops after $C \log r$ steps. Using FKG we get

$$\mathbb{P}(0 \xleftrightarrow{Q_r} z) \geq \prod_i \mathbb{P}(x_i \xleftrightarrow{Q_i} x_{i+1}) \geq (cr^{1-d})^{C \log r}. \quad \square$$

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We will now show how to apply lemma 1 to prove regularity results for large clusters.

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- ▶ By Aizenman & Newman's diagrammatic bounds the size of the cluster decays exponentially beyond r^4 ,

$$\mathbb{P}(|\mathcal{C}(0; Q_r)| > \lambda r^4) \leq e^{-c\lambda}.$$

where $\mathcal{C}(x; A) = \{y \in A : x \overset{A}{\leftrightarrow} y\}$.

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- ▶ We want to show the same *conditioning on $0 \leftrightarrow x$* .

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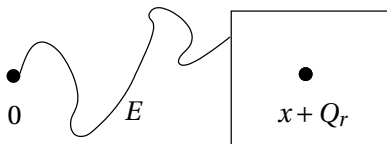
Proof

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Cluster regularity ctd.

- ▶ Note that $E = \{0 \leftrightarrow x + \partial Q_r\}$ is independent of everything that happens inside $x + Q_r$.



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- ▶ Note that $E = \{0 \leftrightarrow x + \partial Q_r\}$ is independent of everything that happens inside $x + Q_r$.

Now write,

$$\mathbb{P}(0 \leftrightarrow x, |\mathcal{C}(x; x + Q_r)| > r^{4+\epsilon}) \leq$$

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$$\begin{aligned}\mathbb{P}(0 \leftrightarrow x, |\mathcal{C}(x; x + Q_r)| > r^{4+\epsilon}) &\leq \\ &\leq \mathbb{P}(E, |\mathcal{C}(x; x + Q_r)| > r^{4+\epsilon}) =\end{aligned}$$

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 \text{By exponential decay} &\leq \mathbb{P}(E)Ce^{-cr^\epsilon} \leq \\
 \text{By lemma 1} &\leq \mathbb{P}(0 \leftrightarrow x)Ce^{-cr^\epsilon + C\log^2 r} \leq \\
 &\leq Ce^{-cr^\epsilon} \mathbb{P}(0 \leftrightarrow x). \quad \square
 \end{aligned}$$

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Cluster regularity III

We showed $\mathbb{P}(|\mathcal{C}(x)| > r^{4+\varepsilon} \mid x \in \mathcal{C}(0)) < e^{-cr^\varepsilon}$.

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We showed $\mathbb{P}(|\mathcal{C}(x)| > r^{4+\epsilon} \mid x \in \mathcal{C}(0)) < e^{-cr^\epsilon}$.

This is a local regularity result. A corresponding global regularity result would be

Lemma 3. Call a vertex x bad if $|\mathcal{C}(x; x + Q_r)| > r^{r+\epsilon}$. Then

$$\mathbb{P}(|\mathcal{C}(0)| > M \text{ and } |\{\text{bad } x \in \mathcal{C}(0)\}| > \frac{1}{2}|\mathcal{C}(0)|) \leq C \exp(-Mr^{-d}).$$

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The proof of lemma 3 is a straightforward exploration of $\mathcal{C}(0)$.

Conclusions

- ▶ Working with the graph distance is far easier than with the Euclidean distance (3 pages vs. 40).
- ▶ Regularity analysis can replace a direct use of the triangle condition in many cases.

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Thank you